

# PARAMETRIC HOMOTOPY PRINCIPLE OF SOME PARTIAL DIFFERENTIAL RELATIONS

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ABSTRACT. An  $r$ th order partial differential relation is a subspace in the space of  $r$ -jets of  $C^r$  sections of a fibre bundle  $p: E \rightarrow X$ . In this paper we consider an open  $G$ -invariant relation for equivariant sections of a  $G$ -fibre bundle, where  $G$  is a compact Lie group, and consider the homotopy classification of equivariant solutions of the differential relation. We also obtain an equivariant analogue of the Smale-Hirsch immersion theorem.

## 1. Introduction

The open extension theorem of Gromov [4] provides a unifying principle for the work of Smale [9], Hirsch [5], Phillips [8], Feit [3], and others on immersion and submersion problems. The main purpose of the present paper is to study the theorem in an equivariant context, and obtain as applications a generalization of the transversality theorem of Gromov, and an equivariant version of the Smale-Hirsch immersion theorem.

Let  $G$  be a compact Lie group,  $X$  a differentiable  $G$ -manifold with a  $G$ -invariant Riemannian metric, and  $p: E \rightarrow X$  a  $G$ -locally trivial differentiable  $G$ -fibre bundle. Recall that a  $G$ -fibre bundle  $p: E \rightarrow X$  is a locally trivial  $G$ -map, and that this is  $G$ -locally trivial if for every  $x$  in  $X$  there exists a  $G_x$ -invariant open neighbourhood  $U_x$  of  $x$  such that  $p^{-1}(U_x)$  is differentiably  $G_x$ -equivalent to the trivial  $G_x$ -fibre bundle  $U_x \times p^{-1}(x)$ . As has been shown in Bierstone [1; Theorem 4.1], a differentiable  $G$ -fibre bundle is  $G$ -locally trivial if and only if it has the equivariant covering homotopy property.

Let  $p^{(r)}: E^{(r)} \rightarrow X$  be the bundle of  $r$ -jets of local sections of  $p$ . Then  $p^{(r)}$  inherits a natural differentiable  $G$ -fibre bundle structure, where the action of  $G$  on  $E^{(r)}$  is given by  $g \cdot j_x^r f = j_{gx}^r (gf g^{-1})$ , for a local section  $f$  of  $p$  at  $x \in X$  and

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$g \in G$ . Then a *partial differential relation*, or simply a *relation*, is a  $G$ -invariant subspace  $\mathcal{R}$  of  $E^{(r)}$ .

Let  $E_G^{(r)} \subset E^{(r)}$  be the subspace of  $E^{(r)}$  consisting of  $r$ -jets of equivariant local sections of  $p$  defined on  $G$ -invariant open sets of  $X$ . Then  $E_G^{(r)}$  is a  $G$ -invariant subspace of  $E^{(r)}$ . We shall denote the subset  $\mathcal{R} \cap E_G^{(r)}$  by  $\mathcal{R}_G$ .

A section  $f: X \rightarrow E$  of  $p$  is called a *solution* of the partial differential relation  $\mathcal{R}$ , if the corresponding  $r$ -jet map  $j^r f$  has its image in  $\mathcal{R}$ .

We shall denote the space of equivariant  $C^\infty$  solutions of  $\mathcal{R}$  by  $\text{Sol } \mathcal{R}$ , and the space of equivariant  $C^0$  sections of  $p^{(r)}$  with images in  $\mathcal{R}_G$  by  $\Gamma(\mathcal{R})$ . The former space has the  $C^\infty$  compact-open topology, whereas the latter one has the  $C^0$  compact-open topology. The  $r$ -jet map  $j^r$  maps  $\text{Sol } \mathcal{R}$  into  $\Gamma(\mathcal{R})$ , and is continuous with respect to the above topologies.

A relation  $\mathcal{R} \subset E^{(r)}$  is said to satisfy *equivariant parametric h-principle* ( $h$  for homotopy), if the  $r$ -jet map  $j^r: \text{Sol } \mathcal{R} \rightarrow \Gamma(\mathcal{R})$  is a weak homotopy equivalence.

The manifolds  $X \times \mathbb{R}$  and  $E \times \mathbb{R}$  are  $G$ -manifolds under the diagonal  $G$ -action on them, the  $G$ -action on  $\mathbb{R}$  being the trivial one. Moreover,  $p \times \text{id}: E \times \mathbb{R} \rightarrow X \times \mathbb{R}$  is a  $G$ -locally trivial  $G$ -fibre bundle. Let  $\pi: X \times \mathbb{R} \rightarrow X$  be the canonical projection on the first factor. There is a natural bundle map  $\pi^{(r)}: (E \times \mathbb{R})^{(r)} \rightarrow E^{(r)}$  covering the projection  $\pi$  which sends the  $r$ -jet  $j_{(x,t)}^r f$  onto the  $r$ -jet  $j_x^r(\pi \circ f \circ i_t)$ , where  $i_t: X \rightarrow X \times \mathbb{R}$  is given by  $i_t(y) = (y, t)$  for  $y \in X$ . We call a relation  $\tilde{\mathcal{R}} \subset (E \times \mathbb{R})^{(r)}$  an *extension* of  $\mathcal{R}$ , if  $\pi^{(r)}$  sends  $\tilde{\mathcal{R}}_G$  onto  $\mathcal{R}_G$ .

Let  $\mathcal{D}_G(X \times \mathbb{R})$  denote the pseudogroup of equivariant local diffeomorphisms on  $X \times \mathbb{R}$ . We shall be interested in the subpseudogroup  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$  of  $\mathcal{D}_G(X \times \mathbb{R})$  consisting of fibre-preserving diffeomorphisms, which are local diffeomorphisms  $\lambda$  such that  $\pi \circ \lambda = \pi$ . The pseudogroup  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$  has a natural action on  $E \times \mathbb{R}$ . This action may be described by a map  $\varrho: \mathcal{D}_G(X \times \mathbb{R}, \pi) \rightarrow \mathcal{D}_G(E \times \mathbb{R})$  in the following way. If  $\lambda: U \times J \rightarrow U \times J'$  is in  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$ , where  $J$  and  $J'$  are open intervals of the real line  $\mathbb{R}$ , then we define  $\varrho(\lambda): p^{-1}(U) \times J \rightarrow p^{-1}(U) \times J'$  by  $\varrho(\lambda)(e, t) = (e, \lambda'(p(e), t))$ , where  $\lambda': U \times J \rightarrow J'$  is the  $G$ -equivariant map satisfying  $\lambda(x, t) = (x, \lambda'(x, t))$  so that  $\pi_2 \circ \lambda = \lambda'$  ( $\pi_2$  denotes the projection on the second factor). The map  $\varrho$  is continuous with respect to  $C^\infty$  compact-open topologies, and it induces an action of  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$  on the space of local sections of  $p \times \text{id}$ , and hence an action on the jet space  $(E \times \mathbb{R})^{(r)}$ . The actions are given by  $(\lambda, f) \mapsto \lambda^* f = \varrho(\lambda)^{-1} \circ f \circ \lambda$  and  $(\lambda, j_{\lambda(x,t)}^r f) \mapsto j_{(x,t)}^r(\lambda^* f)$ , where  $\lambda \in \mathcal{D}_G(X \times \mathbb{R}, \pi)$  is a local  $G$ -diffeomorphism at  $x$  and  $f$  is a local  $G$ -section of  $p \times \text{id}$  at  $\lambda(x, t)$ .

A relation  $\mathcal{R}$  is said to be  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$ -*invariant*, if  $\lambda^*(\mathcal{R}) \subset \mathcal{R}$  for every  $\lambda \in \mathcal{D}_G(X \times \mathbb{R}, \pi)$ .

The main theorem of the paper is:

**THEOREM 1.1.** *If  $\mathcal{R} \subset E^{(r)}$  is an open relation which admits a  $G$ - and  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$ -invariant open extension  $\tilde{\mathcal{R}} \subset (E \times \mathbb{R})^{(r)}$ , then  $\mathcal{R}$  abides by the equivariant parametric h-principle.*

The main theorem reduces to the “open extension theorem” of Gromov [4; p. 86] when  $G$  is trivial, and generalizes the theorems of Bierstone [2], and Izumiya [6] in the sense that we do not require the invariance of the basic partial differential relation  $\mathcal{R}$  under the action of the pseudogroup of local diffeomorphisms.

Our next theorem is an application of Theorem 1.1, and is a generalization of the transversality theorem of Gromov [4; p. 87]. First recall that if  $X$  is a  $G$ -manifold, then its tangent bundle  $TX$  is a  $G$ -vector bundle over  $X$  under the differential action of  $G$ . In fact, since  $TX$  has a Lie structure group,  $TX$  is actually  $G$ -locally trivial (see Bierstone [1; Theorem 4.2]). Also, if  $H$  is a closed subgroup of  $G$ , then the  $H$ -fixed point set  $X^H$  is a submanifold of  $X$ , and  $T(X^H) = (TX)^H$  ([7; §11.13]). Thus  $(TX)^H$  is a vector bundle over  $X^H$ . Now consider a  $G$ -locally trivial  $G$ -fibre bundle  $p: E \rightarrow X$ , and let  $\xi$  and  $\eta$  be  $G$ -subbundles of  $TE$  and  $TX$  respectively. Let  $\mathcal{R} \subset E^{(1)}$  be the relation consisting of 1-jets of germs of local sections,  $j_x^1\sigma$  for  $x \in X$ , such that  $j_x^1\sigma(\eta_x) \cap \xi_{\sigma(x)} = \{0\}$ . Thus the solutions of  $\mathcal{R}$  are sections of  $p$  which are transversal to  $\xi$  on  $\eta$ .

**THEOREM 1.2.** *If for each isotropy subgroup  $H$  of the action of  $G$  on  $X$  we have locally*

$$\dim X^H + \dim \xi^H < \dim E^H,$$

*where  $\dim \xi^H$  means the fibre dimension of  $\xi^H$ , then  $\mathcal{R}$  satisfies equivariant parametric h-principle.*

Explicitly, the condition means that for each  $x \in X^H$  and each  $e \in p^{-1}(x) \cap E^H$ ,  $\dim X^H$  at  $x$  is strictly less than  $\dim E^H - \dim \xi^H$  at  $e$ .

This theorem leads to an equivariant version of the Smale-Hirsch immersion theorem. Let  $X$  and  $Y$  be smooth  $G$ -manifolds with  $\dim X < \dim Y$ . Let  $\text{Imm}_G(X, Y)$  denote the space of equivariant smooth immersions of  $X$  in  $Y$ , and  $\text{R}_G(TX, TY)$  denote the space of equivariant continuous monomorphisms  $F: TX \rightarrow TY$  such that  $F_x|_{T_x(Gx)}$  is given by the differential of the map  $gx \mapsto gf(x)$  of the orbit  $Gx$  onto the orbit  $Gf(x)$ , where  $f: X \rightarrow Y$  is the map covered by  $F: TX \rightarrow TY$ .

**THEOREM 1.3.** *The differential map  $d: \text{Imm}_G(X, Y) \rightarrow \text{R}_G(TX, TY)$  is a weak homotopy equivalence, provided  $\dim X^H < \dim Y^H$  locally for every isotropy subgroup  $H$  of the  $G$ -action on  $X$ .*

This theorem may be compared with earlier work on equivariant immersions by Bierstone [2] and Izumiya [6]. Bierstone used a dimension con-

dition which may be described as follows. Recall that an invariant component of a  $G$ -manifold  $X$  is the inverse image under the orbit map  $X \rightarrow X/G$  of a component of  $X/G$ , and that the saturation of a fixed point set  $X^H$  is the closed  $G$ -subspace  $X^{(H)} = G \cdot X^H$  of  $X$ . Let  $\{X_i^j\}$  be the set of invariant components of the saturations  $X^{(H_j)}$  partially ordered by inclusion, where  $H_j$  runs over the isotropy subgroups of  $G$  over  $X$ . Then the equivariant immersion theorem of Bierstone demands that  $\dim(X_i^j)^{H_j}$  for each minimal component  $X_i^j$  should be strictly less than the dimension of each component of  $Y^{H_j}$ . On the other hand, if  $n = \max\{\dim X^H\}$  where  $H$  runs over isotropy subgroups of  $G$  over  $X$ , and if  $m = \min\{\dim Y^K\}$  where  $K$  runs over isotropy subgroups of  $G$  over  $Y$ , then the equivariant immersion theorem of Izumiya assumes that  $n < m$ . It follows then that Izumiya's theorem is weaker than Theorem 1.3, and Theorem 1.3 is weaker than Bierstone's theorem.

## 2. Proof of Theorem 1.1

We shall resort to the sheaf theoretic treatment of Gromov [4]. Let  $\Phi$  be the sheaf on  $X$  with  $\Phi(U)$ , where  $U$  is an open set in  $X$  (not necessarily  $G$ -invariant), as the space of equivariant  $C^\infty$  solutions of  $\mathcal{R}$  over  $GU$ , and with obvious restriction maps which are continuous with respect to the  $C^\infty$  compact-open topologies. If  $C$  is a subset of  $X$ , we let  $\Phi(C)$  to be the direct limit of the spaces  $\Phi(U)$  over all open sets  $U$  containing  $C$ . Thus  $\Phi(C)$  consists of germs of equivariant  $C^\infty$  solutions of  $\mathcal{R}$  near  $C$ , and  $\Phi(C) = \Phi(GC)$ . We endow  $\Phi(C)$  with the following quasi-topological structure, in order to avoid certain awkward situations (see Gromov [4; p. 35]). If  $P$  is any topological space, then the space  $C^0(P, \Phi(C))$  of quasi-continuous maps (which will also be referred to as continuous maps) from  $P$  to  $\Phi(C)$  is the direct limit of the spaces  $C^0(P, \Phi(U))$  of continuous maps  $P \rightarrow \Phi(U)$  over all open sets  $U$  containing  $C$ . Thus the restriction maps  $r: \Phi(C) \rightarrow \Phi(C')$ ,  $C' \subset C \subset X$ , are continuous in the sense that for any  $f \in C^0(P, \Phi(C))$  the composition  $r \circ f \in C^0(P, \Phi(C'))$ .

Similarly, we define the sheaf  $\Psi$  of equivariant  $C^0$  sections of  $p^{(r)}$  whose images lie in  $\mathcal{R}_G$ .

It is easy to see that  $\Phi(X)$  and  $\Psi(X)$  are respectively the spaces  $\text{Sol } \mathcal{R}$  and  $\Gamma(\mathcal{R})$ , and the  $r$ -jet map induces a continuous sheaf homomorphism  $j^r: \Phi \rightarrow \Psi$ .

In view of the sheaf homomorphism theorem of Gromov [4; p. 77], the proof of our theorem consists in showing that the sheaf  $\Phi$  is flexible, which means that for every pair of compact sets  $(C, C')$  in  $X$  the restriction map  $r: \Phi(C) \rightarrow \Phi(C')$  is a Serre fibration. The other prerequisites, namely, flexibility of  $\Psi$ , and local weak homotopy equivalence of  $j^r: \Phi \rightarrow \Psi$  can be worked out easily following

respectively the arguments of the Flexibility sublemma of G r o m o v [4; p. 40], and Lemma 5.4 of B i e r s t o n e [2] ( $\mathcal{R}$  being open).

To prove the flexibility of  $\Phi$ , we need to consider the solution sheaf  $\tilde{\Phi}$  of the relation  $\tilde{\mathcal{R}}$ . Using the fact that  $\tilde{\mathcal{R}}$  is an open extension of  $\mathcal{R}$ , it is not difficult to show that the canonical restriction  $\alpha: \tilde{\Phi}|_X \rightarrow \Phi$  is a microextension. Therefore, our objective is to show that the sheaf  $\tilde{\Phi}|_X$  is flexible, because once this is done, the flexibility of  $\Phi$  will follow directly from the Microextension theorem of G r o m o v [4; p. 85].

Before taking on the relation  $\tilde{\mathcal{R}}$ , we observe the following simple but extremely important fact. Let  $S$  be a compact  $G$ -invariant hypersurface lying in a  $G$ -invariant open set  $U \subset X$  and  $\delta$  be a positive real. Let  $\mathcal{E}_G(U, U \times (-\delta, \delta))$  be the space of equivariant  $C^\infty$  embeddings of  $\text{Op } U$  in  $U \times (-\delta, \delta)$  with  $C^\infty$  compact-open quasi-topology, where  $\text{Op } U$  denotes an arbitrary open invariant neighbourhood of  $U$  in  $U \times (-\delta, \delta)$  which may be different for different embeddings. Suppose that for some  $\tau > 0$ , the  $\tau$ -neighbourhood  $U_\tau$  of  $S$  is contained in  $U$ . Then we have:

**LEMMA 2.1.** *For every real number  $a$ ,  $0 < a < \delta$ , there exists an isotopy  $\sigma: I \rightarrow \mathcal{E}_G(U, U \times (-\delta, \delta))$  such that*

- (i) *for each  $t \in I$ ,  $\sigma_t$  is a fibre-preserving diffeomorphism; in particular  $\sigma_0$  is the inclusion map,*
- (ii) *for each  $t$ ,  $\sigma_t(x, s) = (x, s)$  whenever  $x$  lies outside  $U_\tau$ ,*
- (iii) *for each  $x$  lying in a fixed neighbourhood of  $S$ ,  $d(\sigma_1(x, s), X) > a$ , where  $d$  denotes the distance with respect to the  $G$ -invariant metric on  $X \times \mathbb{R}$ .*

Note that following G r o m o v, the diffeotopy  $\sigma_t$  may be said to sharply move  $X$  locally in  $X \times \mathbb{R}$  at the hypersurface  $S$ .

**P r o o f.** Let  $f: \mathbb{R} \rightarrow \mathbb{R}$  be a smooth function defined by

$$f(u) = \begin{cases} \exp 1/(u^2 - 1) & \text{if } |u| < 1, \\ 0 & \text{if } |u| \geq 1. \end{cases}$$

Next, define a 1-parameter family of maps

$$\sigma_t: \text{Op } U \rightarrow U \times (-\delta, \delta), \quad 0 \leq t \leq 1,$$

by

$$\sigma_t(x, s) = \left( x, tcf(d(x, S)/\tau) + s \right),$$

where  $c$  is a constant (the value of which will be determined later according to our requirements).

It is clear from the definition that  $\sigma_0$  is the inclusion map,  $\sigma_t$  is fibre-preserving, and  $\sigma_t(x, s) = (x, s)$  if  $x$  lies outside the  $\tau$ -neighbourhood of  $S$  in  $X$ . Also, each  $\sigma_t$  is an equivariant map, because  $d(gx, S) = d(gx, gS) = d(x, S)$ .

To prove that  $\sigma_t$  is an embedding, it is enough to observe that  $\sigma_t$  is fibre-preserving, and that, for a fixed  $x$ , the map  $s \mapsto tcf(d(x, S)/\tau) + s$  is a one-one immersion.

Now observe that  $\max_{t,x} tcf(d(x, S)/\tau) = cf(0)$ , and choose  $c$  so that  $a/f(0) < c < \delta/f(0)$ . Then, it is easy to verify that there exists an  $\varepsilon > 0$  such that  $\sigma: I \rightarrow \mathcal{E}_G(U \times (-\varepsilon, \varepsilon), U \times (-\delta, \delta))$  has all the required properties.  $\square$

We now turn to the proof of flexibility of the sheaf  $\tilde{\Phi}|_X$ . Since compressibility of deformations over compact sets is equivalent to flexibility of the sheaf [4; p. 80–81], it is sufficient to prove that an arbitrary deformation  $\psi: Q \times I \rightarrow \tilde{\Phi}(A)$ , where  $A \subset X$  is a compact set, is compressible. To see this, let us take a  $G$ -invariant open neighbourhood  $\bar{U}$  of  $A$  in  $U \cap X$ , where  $U \subset X \times \mathbb{R}$  is a common domain for the family of maps  $\psi(q, t)$  parametrized by  $Q \times I$  (such an  $U$  exists by the quasi-continuity of  $\psi$ ). Since  $A$  is compact, we get a  $G$ -invariant open neighbourhood  $U_1$  of  $A$  in  $X$  (with closure  $\text{cl} U_1$  compact) and an  $a > 0$  such that

$$U_1 \subset \bar{U} \quad \text{and} \quad \text{cl} U_1 \times [-2a, 2a] \subset U.$$

Choose  $G$ -invariant open sets  $V_0$  and  $V$  such that  $\text{cl} V_0$  and  $\text{cl} V$  are compact and

$$A \subset V_0 \subset \text{cl} V_0 \subset V \subset \text{cl} V \subset U_1.$$

Set

$$\begin{aligned} X_0 &= \text{cl} V_0 \times [-a/2, a/2], \\ Y_0 &= \text{cl} U_1 \times [-2a, 2a] \setminus V \times (-a, a). \end{aligned}$$

The sets  $X_0$  and  $Y_0$  are compact,  $G$ -invariant and disjoint from each other. Let  $\Delta$  denote the diagonal subset of  $I \times I$ . Define a map  $\varphi_1: Q \times \Delta \rightarrow \tilde{\Phi}(\text{cl} U_1 \times [-2a, 2a])$  by

$$\varphi_1(q, t, t) = \psi(q, t) \quad \text{for } (q, t) \in Q \times I.$$

Define another map  $\varphi_2: Q \times I \times I \rightarrow \tilde{\Phi}(X_0 \cup Y_0)$  by

$$\varphi_2(q, t, s)(x) = \begin{cases} \psi(q, s)(x) & \text{if } x \in X_0, \\ \psi(q, t)(x) & \text{if } x \in Y_0. \end{cases}$$

Observe that  $r \circ \varphi_1 = \varphi_2|_{Q \times \Delta}$ , where  $r$  is the restriction  $\tilde{\Phi}(\text{cl} U_1 \times [-2a, 2a]) \rightarrow \tilde{\Phi}(X_0 \cup Y_0)$ . Since  $\tilde{\mathcal{R}}$  is open, there exists a neighbourhood  $N$  of  $Q \times \Delta$  in  $Q \times I \times I$  and a map  $\tilde{\psi}: N \rightarrow \tilde{\Phi}(\text{cl} U_1 \times [-2a, 2a])$  such that  $\tilde{\psi}|_{Q \times \Delta} = \varphi_1$  and  $r \circ \tilde{\psi} = \varphi_2$ . Since  $Q \times \Delta$  is compact, we can find a positive number  $\varepsilon \leq 1$

such that  $(q, t, s) \in N$  whenever  $|t - s| < \varepsilon$ . We now partition the interval  $[0, 1]$  as follows:

$$0 = t_0 < t_1 < \dots < t_n = 1 \quad \text{such that} \quad |t_k - t_{k+1}| < \varepsilon \text{ for all } k,$$

and define, for each  $k$ , a map

$$\lambda_k : Q \times [t_k, t_{k+1}] \rightarrow \tilde{\Phi}(\text{cl } U_1 \times [-2a, 2a])$$

by the rule

$$\lambda_k(q, t)(x) = \tilde{\psi}(q, t_k, t)(x).$$

Then  $\lambda_k$  has the following properties:

- (i) for all  $x$ ,  $\lambda_k(q, t_k)(x) = \psi(q, t_k)$ ,
- (ii) for  $x \in X_0$ ,  $\lambda_k(q, t)(x) = \psi(q, t)(x)$ ,
- (iii) for  $x \in Y_0$ ,  $\lambda_k(q, t)(x) = \psi(q, t_k)(x)$ , that is, non-fixed points of  $\lambda_k$  lie inside  $V \times (-a, a)$ .

We are now in a position to define the required deformation  $\tilde{\psi}$  using the above  $\lambda_k$ 's and the sharply moving diffeotopies. Suppose that, for some  $k$ ,  $1 \leq k \leq n - 1$ , we have an  $\varepsilon_k > 0$  and a map  $\psi_k : Q \times [0, t_k] \rightarrow \tilde{\Phi}(U_1 \times (-\varepsilon_k, \varepsilon_k))$  such that, for all  $q \in Q$  and  $t \in [0, t_k]$ ,

- (iv)  $\psi_k(q, t) = \psi(q, t)$  on  $V_k \times (-\varepsilon_k, \varepsilon_k)$ , where  $V_k$  is a  $G$ -invariant neighbourhood of  $A$  in  $V_0$ ,
- (v)  $\psi_k(q, 0) = \psi(q, 0)$ ,
- (vi)  $\text{supp } \psi_k \subset V \times (-\varepsilon_k, \varepsilon_k)$ , where  $\text{supp } \psi_k$  denotes the set of non-fixed points of  $\psi_k$  ([4; p. 80]).

We shall construct  $\psi_{k+1} : Q \times [0, t_{k+1}] \rightarrow \tilde{\Phi}(U_1 \times (-\varepsilon_{k+1}, \varepsilon_{k+1}))$  for some positive number  $\varepsilon_{k+1} < \varepsilon_k$ . Choose open  $G$ -invariant neighbourhoods  $V'_k$  and  $W_k$  (with compact closures) of  $A$  in  $V_k$  satisfying

$$A \subset W_k \subset \text{cl } W_k \subset V'_k \subset \text{cl } V'_k \subset V_k.$$

Now, if  $\tau$  is such that  $0 < \tau < \min\left(d(A, \partial(\text{cl } W_k)), d(W_k, \partial(\text{cl } V'_k))\right)$ , where  $d$  is the  $G$ -invariant Riemannian metric on  $X$ , then the  $\tau$ -neighbourhood of  $\partial(\text{cl } W_k)$  in  $X$  is contained in  $V'_k \setminus A$ .

Let us consider the open subset  $U' = U_1 \times (-2a, 2a)$  of  $X \times \mathbb{R}$ . By Lemma 2.1, there exists a positive number  $\varepsilon_{k+1} < \varepsilon_k$ , and an isotopy

$$\sigma : I \rightarrow \mathcal{E}_G(U_1 \times (-\varepsilon_{k+1}, \varepsilon_{k+1}), U')$$

which lies in  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$  and sharply moves  $U_1$  at  $\partial(\text{cl } W_k)$ . Then,  $\sigma_t^* \lambda_k(q, s) \in \tilde{\Phi}(U_1 \times (-\varepsilon_{k+1}, \varepsilon_{k+1}))$  for each  $t \in I$ ,  $q \in Q$  and  $s \in [t_k, t_{k+1}]$ , since  $\tilde{\mathcal{R}}$  is invariant under the action of  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$ .

Let  $\bar{\sigma}_t: U_1 \times (-\varepsilon_{k+1}, \varepsilon_{k+1}) \rightarrow U'$ ,  $0 \leq t \leq t_{k+1}$ , be the isotopy obtained by shrinking  $\sigma_t$ :

$$\bar{\sigma}_t = \begin{cases} \sigma_{t/t_k} & \text{if } 0 \leq t \leq t_k, \\ \sigma_1 & \text{if } t_k \leq t \leq t_{k+1}. \end{cases}$$

Now define  $\psi_{k+1}: Q \times [0, t_{k+1}] \rightarrow \tilde{\Phi}(U_1 \times (-\varepsilon_{k+1}, \varepsilon_{k+1}))$  in the following way:

$$\psi_{k+1}(q, t)(x, s) = \begin{cases} \psi_k(q, t)(x, s) & \text{if } x \notin V'_k, \quad 0 \leq t \leq t_k, \\ [\bar{\sigma}_t^* \psi(q, t)](x, s) & \text{if } x \in \text{cl } V'_k, \quad 0 \leq t \leq t_k, \\ [\bar{\sigma}_t^* \lambda_k(q, t)](x, s) & \text{if } x \in \text{cl } W_k, \quad t_k \leq t \leq t_{k+1}, \\ [\bar{\sigma}_t^* \psi(q, t_k)](x, s) & \text{if } x \in \text{cl } V'_k \setminus W_k, \quad t_k \leq t \leq t_{k+1}, \\ \psi_k(q, t_k)(x, s) & \text{if } x \notin V'_k, \quad t_k \leq t \leq t_{k+1}, \end{cases}$$

where  $q \in Q$  and  $s \in (-\varepsilon_{k+1}, \varepsilon_{k+1})$ .

Observe that  $\psi_n$  is the required  $\bar{\psi}$  with  $\varepsilon = \varepsilon_n$ , because  $\text{supp } \psi_n \subset V \times (-\varepsilon_n, \varepsilon_n)$ , and hence we can extend  $\psi_n$  to  $U \cap (X \times (-\varepsilon_n, \varepsilon_n))$  by defining it to be fixed on the complement of  $U_1 \times (-\varepsilon_n, \varepsilon_n)$ .

To start the induction we must now define  $\psi_1: Q \times [0, t_1] \rightarrow \tilde{\Phi}(\text{Op } U_1)$ . For this construction we simply repeat the above arguments for  $k = 0$ . Note that we must take  $t_k = t_0 = 0$ ,  $V_k = V_0$  and  $\bar{\sigma}_t = \sigma_1$  for  $0 \leq t \leq t_1$ . Then the definition of  $\psi_1$  can be read out from the definition of  $\psi_{k+1}$ .

### 3. Proof of Theorem 1.2 and Theorem 1.3

**P r o o f o f T h e o r e m 1.2.** It is easy to see that  $\mathcal{R}$  is a  $G$ -invariant open subset of  $E^{(1)}$ . If we show that  $\mathcal{R}$  has a  $G$ -, and  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$ -invariant open extension, then the theorem will be proved in view of Theorem 1.1.

A section  $\tau: X \times \mathbb{R} \rightarrow E \times \mathbb{R}$  is of the form  $\tau(x, t) = (\tau'(x, t), t)$  so that  $\pi \circ \tau = \tau'$ , where  $\tau': X \times \mathbb{R} \rightarrow E$  is a map such that, for each  $t \in \mathbb{R}$ ,  $\tau'(\cdot, t)$  is a section of  $p$ . Define a  $G$ -subbundle  $\tilde{\eta}$  of  $T(X \times \mathbb{R})$  by  $\tilde{\eta}_{(x,t)} = \eta_x \times \mathbb{R}$ .

Let  $\tilde{\mathcal{R}} \subset (E \times \mathbb{R})^{(1)}$  consist of 1-jets of local sections  $j_{(x,t)}^1 \tau$  satisfying the following two conditions:

- (a)  $j_{(x,t)}^1 \tau' |_{\tilde{\eta}_{(x,t)}}$  is injective,
- (b)  $j_{(x,t)}^1 \tau'(\tilde{\eta}_{(x,t)}) \cap \xi_{\tau'(x,t)} = \{0\}$ .

Then  $\tilde{\mathcal{R}}$  is a  $G$ -, and  $\mathcal{D}_G(X \times \mathbb{R}, \pi)$ -invariant open relation. Moreover,  $\pi^{(1)}$  maps  $\tilde{\mathcal{R}}$  into  $\mathcal{R}$ . The proof of the theorem will be complete if we show that  $\pi^{(1)}$  maps  $\tilde{\mathcal{R}}_G$  onto  $\mathcal{R}_G$ .



Let  $\sigma: U \rightarrow E$  be a local  $G$ -section of  $p$  defined on a  $G$ -invariant neighbourhood  $U$  of  $x \in X$  such that  $j_x^1 \sigma \in \mathcal{R}_G$ . We will produce an equivariant local section  $\tau$  at  $(x, 0)$  defined on some  $G$ -invariant open neighbourhood  $\tilde{U}$  of  $(x, 0)$  such that  $j_{(x,0)}^1 \tau \in \tilde{\mathcal{R}}_G$  and  $\pi^{(1)} j_{(x,0)}^1 \tau = j_x^1 \sigma$ . If there exists such a  $\tau$ , then

(i)  $\tau(y, t)$  can be expressed as  $(\tau'(y, t), t)$  for  $(y, t) \in \tilde{U}$ , where  $\tau'$  is an equivariant map from  $\tilde{U}$  to  $E$ , and it satisfies the relation  $p \circ \tau'(y, t) = y$ . Moreover,  $\tau'(x, 0) = \sigma(x)$ .

(ii) Since  $\tau'$  is equivariant, it maps  $\tilde{U}^H$  into  $E^H$ , where  $H$  denotes the isotropy subgroup  $G_x$  at  $x$ . Let  $p^H$  denote the restriction of  $p$  to  $E^H$ . The relation  $p^H \circ \tau'(x, t) = x$  gives  $dp_{\sigma(x)}^H \circ d\tau'_{(x,0)}(0, w) = 0$  for  $(0, w) \in T_x X^H \times T_0 \mathbb{R}$ . Then  $d\tau'_{(x,0)}(0, w) \in \text{Ker } dp_{\sigma(x)}^H$ . Since  $p^H: E^H \rightarrow X^H$  is a fibre-bundle with fibre  $(E_x)^H$ , which is the same as  $(E^H)_x$  ( $E_x$  being the fibre of  $p$  over  $x$ ), we have  $\text{Ker } dp_{\sigma(x)}^H = T_{\sigma(x)}(E_x^H) \subset (TE)_{\sigma(x)}^H$ . Hence  $d\tau'_{(x,0)}(0, w) \in T_{\sigma(x)}(E_x^H)$ .

(iii) Also, by hypothesis,  $d\tau'_{(x,0)}(0, 1) \notin d\sigma_x(\eta_x) \oplus \xi_{\sigma(x)}$ .

Therefore, to obtain  $\tau'$ , it is required to find a vector  $\mathbf{u} \in T_{\sigma(x)} E_x^H$  which does not belong to the intersection  $(d\sigma_x(\eta_x) \oplus \xi_{\sigma(x)}) \cap T_{\sigma(x)} E_x^H$ . Now, since the local condition  $\dim X^H + \dim \xi^H < \dim E^H$  is equivalent to  $\dim \xi^H < \dim E_x^H$ , and since  $d\sigma_x(\eta_x^H) \cap T_{\sigma(x)}(E_x^H) = \{0\}$ ,  $T_{\sigma(x)}(E_x^H)$  is not contained in  $d\sigma_x(\eta_x^H) \oplus \xi_{\sigma(x)}^H$ . Also, since  $\eta$  and  $\xi$  are  $G$ -invariant subbundles,  $\sigma$  is equivariant, and  $d\sigma_x$  is injective, we can prove that

$$(d\sigma_x(\eta_x^H) \oplus \xi_{\sigma(x)}^H) \cap T_{\sigma(x)} E_x^H = (d\sigma_x(\eta_x) \oplus \xi_{\sigma(x)}) \cap T_{\sigma(x)} E_x^H.$$

We may, therefore, choose  $\mathbf{u}$  as required.

We shall now construct  $\tau'$  described in (i) above. First identify  $E|_U$  with the trivial  $G_x$ -bundle  $U \times Y$ , where  $Y$  is  $G_x$ -homeomorphic to the fibre  $E_x$ . Then  $\sigma$  can be expressed in the following way

$$\sigma(y) = (y, \bar{\sigma}(y)) \in U \times Y,$$

where  $y \in U$ , and  $\bar{\sigma}: U \rightarrow Y$  is a  $G_x$ -equivariant map. Therefore, because of (ii), we may assume without loss of generality, that  $\mathbf{u} \in T_{\bar{\sigma}(x)} Y^H \subset T_x X \times T_{\bar{\sigma}(x)} Y$ .

Next, note that we can always find a smooth function  $\bar{f}$  (not necessarily equivariant) from a neighbourhood of  $(x, 0) \in X \times \mathbb{R}$  to  $Y$  such that at the point  $(x, 0)$  it satisfies the following relations

$$\bar{f}(x, 0) = \bar{\sigma}(x), \quad \frac{\partial \bar{f}}{\partial x_i} = \frac{\partial \bar{\sigma}}{\partial x_i}, \quad \frac{\partial \bar{f}}{\partial t} = \mathbf{u},$$

where  $x_i$ 's are coordinate functions on a neighbourhood of  $x \in X$  and  $\mathbf{u}$  is as chosen above. These conditions imply that the map  $f': \text{Op}(x, 0) \rightarrow E$  defined by

the formula  $f'(y, t) = (y, \bar{f}(y, t))$  has all the properties of  $\tau'$ , except (perhaps) equivariance. Thus  $\tilde{\mathcal{R}}$  is a non-equivariant extension of  $\mathcal{R}$ . We may also assume that  $f'$  agrees with  $\sigma$  on  $X$ .

We shall now modify  $f'$  to get the required equivariant map  $\tau'$ . Define a map  $\tau'_1$  on the domain of  $f'$  by the following rule:

$$\tau'_1(y, t) = \int_H h^{-1} f'(h \cdot (y, t)) \, dh,$$

where  $dh$  is the normalized Haar measure on  $G_x = H$ . Then  $\tau'_1$  is a  $G_x$ -equivariant map agreeing with  $f'$  (and hence with  $\sigma$ ) on  $U \times \{0\}$ , and is such that, for each  $t \in \mathbb{R}$ ,  $\tau'_1(\cdot, t)$  is a local section of  $p$ , provided the composition  $f \circ i_t$  is defined (in fact for a fixed  $t$ ,  $f'(h \cdot (y, t)) \in E_{hy}$ , and therefore  $h^{-1} \cdot f'(h \cdot (y, t)) \in E_y$ ; consequently,  $\tau'_1(y, t) \in E_y$ ). Moreover, since  $H$  fixes both  $(x, 0)$  and  $\mathbf{u}$ , we have

$$\frac{\partial \tau'_1}{\partial t}(x, 0) = \int_H h^{-1} \frac{\partial f'}{\partial t}(x, 0) \, dh = \int_H h^{-1} \cdot \mathbf{u} \, dh = \mathbf{u}.$$

Therefore, if  $S_x$  is a slice at  $x \in U$ , we may define  $\tau': G \times_H S_x \times \mathbb{R} \rightarrow E$  by

$$\tau'([g, y], t) = g\tau'_1(y, t).$$

This completes the proof of Theorem 1.2. □

**Proof of Theorem 1.3.** Consider first a general situation:

**LEMMA 3.1.** *Let  $X, Y$  be smooth  $G$ -manifolds,  $\xi$  a  $G$ -subbundle of  $TY$ , and  $\eta$  a  $G$ -subbundle of  $TX$  such that  $\dim \eta + \dim \xi < \dim Y$ . Let  $\mathcal{R}$  be the subspace of  $J^1(X, Y)$  consisting of 1-jets of germs of local  $G$ -maps defined on  $G$ -invariant open sets in  $X$ ,  $j_x^1 f$  for  $x \in X$ , such that*

$$j_x^1 f|_{\eta_x} \text{ is injective and } j_x^1 f(\eta_x) \cap \xi_{f(x)} = \{0\}.$$

*Then  $\mathcal{R}$  satisfies equivariant parametric  $h$ -principle (in an obvious sense), if for each isotropy subgroup  $H$  of the action of  $G$  on  $X$  we have locally*

$$\dim \eta^H + \dim \xi^H < \dim Y^H.$$

**Proof.** Consider the  $G$ -locally trivial  $G$ -fibre bundle  $E = X \times Y \rightarrow X$ . Then  $G$ -sections of  $E$  are in one-one correspondence with the  $G$ -maps of  $X$  in  $Y$  and we may write a section  $\sigma$  as  $(1_X, \bar{\sigma})$  where  $\bar{\sigma}: X \rightarrow Y$  is a smooth  $G$ -map.

Consider the bundle  $\bar{\xi}$  on  $X \times Y$  defined by  $\bar{\xi}_{x,y} = \eta_x \times \xi_y$ . Then a section  $\sigma: X \rightarrow E$  satisfies

$$d\sigma_x(\eta_x) \cap \bar{\xi}_{x,\bar{\sigma}(x)} = \{0\}$$

if and only if  $\bar{\sigma}: X \rightarrow Y$  satisfies the following two conditions:

$$d\bar{\sigma}_x|_{\eta_x} \text{ is injective and } d\bar{\sigma}_x(\eta_x) \cap \xi_{\bar{\sigma}(x)} = \{0\}.$$

Also, the condition  $\dim X^H + \dim \bar{\xi}^H < \dim E^H$  is equivalent to  $\dim \xi^H + \dim \eta^H < \dim Y^H$ . This completes the proof.  $\square$

The proof of the theorem now follows from the above lemma by taking  $\eta = TX$  and  $\xi = 0$ .  $\square$

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